



6AU/2186 #3

FEB 0 7 2002

Technology Center 2100

Please type a plus sign (+) inside this box -> +

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/540,825 RANSMITTAL March 31, 2000 **Filing Date** FEB 0 4 2002 **FORM** First Named Inventor David L. Black d for all correspondence after initial filing) Group Art Unit 2186 TE TRADEMAR **Not Yet Assigned Examiner Name** EMC2-043PUS Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Return-receipt postcard Express Abandonment Request Request for Refund PTO 1449 Form Information Disclosure Statement 5 Cited References CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts In the event a petition for extension of time is required by this paper and not under 37 CFR 1.52 or 1.53 otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Richard M. Sharkansky Firm Reg. No. 25,800 Daly, Crowley & Mofford, LLP Individual name Signature Date January 29, 20 CERTIFICATE OF MAILING hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 29, 2002 Anna Maria Keel Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature

January 29, 2002

Date

#3 2-11-02



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

David L. Black et al.

Application No.:

09/540,825

Filed:

March 31, 2000

Entitled:

DATA STORAGE SYSTEM HAVING

SEPARATE DATA TRANSFER

SECTION AND MESSAGE NETWORK WITH PLURAL DIRECTORS ON A COMMON PRINTED CIRCUIT BOARD AND REDUNDANT SWITCHING

NETWORKS

Docket No.:

EMC2-043PUS

Group Art Unit: 2186

Examiner: Not Yet Assigned

RECEIVED

FEB 0 7 2002

Technology Center 2100

Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Date of Signature '

and Mail Deposit

By: The Maria Kaal

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

Appeation No.: 09/540,825

Filed: March 31, 2000

Attorney Docket No.: EMC2-043PUS

[X] (1) The enclosed Information Disclosure Statement is being filed: within three months of the filing date; or within three months of the entry of the national stage of the above-identified application; or before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

- [] (1a) Applicant(s) believe the enclosed Information Disclosure Statement is entitled to the benefit of 37 C.F.R. § 1.97 (b) (3). Accordingly, Applicant(s) believe that no fee or statement is required.
- [] (1b) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
 - [] a statement under 37 C.F.R. § 1.97(e); [] the fee set forth in § 1.17(p).

PETITION UNDER 37 C.F.R. § 1.97(d)

[] (2) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee of \$180.00 required under 37 C.F.R. § 1.17(i).

Aprecation No.: 09/540,825

Attorney Docket No.: EMC2-043PUS

STATEMENT UNDER 37 C.F.R. § 1.97(e) (1)

[] (3) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97 (e) (2)

[] (4) The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

Agree cation No.: 09/540,825

Filed: March 31, 2000 Attorney Docket No.: EMC2-043PUS

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

By: Richard M. Sharkansky

Reg. No. 25,800

Attorney for Applicant(s)

Dated:

275 Turnpike Street – Suite 101 Canton, MA 02021-2310

Telephone: (781) 401-9988 x23

Facsimile: (781) 401-9966

EMC2-043PUS-IDS Letter